

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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I hereby certify that this correspondence is being deposited with the United States Postal Service as priority mail in an envelope with sufficient postage and addressed to: Office of Initial Patent Examination's Filing Receipt Corrections, Assistant Commissioner for Patents, Washington, D.C. 20231, on September 23, 2002.

19. L. L. L. L.

Assistant Commissioner for Patents
Office of Initial Patent Examination's
Filing Receipt Corrections
Washington, D.C. 20231

Applicants respectfully request that the filing receipt for the above-identified patent application be corrected to reflect the following:

1. Please correct the Priority Data as claimed by applicant to read:

"THIS APPLICATION IS A CIP OF 09/337,906 06/22/1999

WHICH IS A CIP OF 08/889,503 07/08/1997 PAT 6,249,772

AND IS A CIP OF 08/889,319 07/08/1997 PAT 6,085,169

AND IS A CIP OF 09/190,744 11/12/1998 ABN

WHICH IS A CON OF 08/707,660 09/04/1996 PAT 5,794,207

AND IS A CON OF 09/083,345 05/22/1998

The requested corrections have been indicated in red on the enclosed copy of the official Filing Receipt.

Please charge \$25.00 to Deposit Account 50-0271. A duplicate copy of this page is enclosed. Additionally, the Assistant Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.19(h), which may be required during the pendency of this application, or to credit any overpayment to Deposit Account No. 50-0271.

If an extension of time is required, or if an additional extension of time is required in addition to that requested in a petition for an extension of time, please grant a petition for that extension of time which is required to make this Response timely, and please charge any fee for such extension to Deposit Account No. 50-0271.

September 23, 2002
Date

Respectfully submitted,



Sean P. Alderucci
Attorney for Applicants
PTO Reg. No 40,484
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UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/348,566	07/07/1999	3625	2803	WD2-98-120	37	102	14

22927
WALKER DIGITAL
FIVE HIGH RIDGE PARK
STAMFORD, CT 06905

COPY

CONFIRMATION NO. 5411
CORRECTED FILING RECEIPT



OC00000008646172

Date Mailed: 08/19/2002

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

JAY S. WALKER, RIDGEFIELD, CT;
JONATHAN OTTO, NEW YORK, NY;
ANDREW S. VAN LUCHENE, NORWALK, CT;
MAGDALENA MIK, GREENWICH, CT;
DANIEL E. TEDESCO, NEW CANAAN, CT;
IAN LEVITAN, WESTMOUNT, CANADA;

Assignment For Published Patent Application

DEAN P. ALDERUCCI;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/337,906 06/22/1999
WHICH IS A CIP OF 08/889,503 07/08/1997 PAT 6,249,772
AND IS A CIP OF 08/889,319 07/08/1997 PAT 6,085,169
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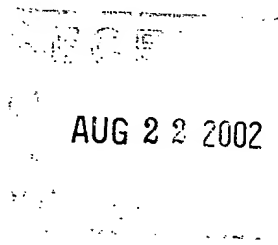
CON

Foreign Applications

If Required, Foreign Filing License Granted 08/03/1999

CPA filed on: 08/06/2002 ✓

Projected Publication Date: 11/28/2002



Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

SETTLEMENT SYSTEMS AND METHODS WHEREIN A BUYER TAKES POSSESSION AT A
RETAILER OF A PRODUCT PURCHASED USING A COMMUNICATION NETWORK

Preliminary Class

705

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

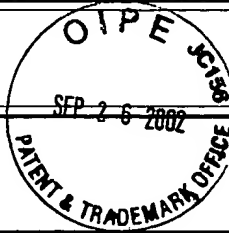
NOT GRANTED

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Receipt

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
98-120



In Re Application Of: JAY S. WALKER et al.

Serial No.
09/348,566

Filing Date
July 7, 1999

Examiner
James A. Zurita

Group Art Unit
3625

Title:

**SETTLEMENT SYSTEMS AND METHODS WHEREIN A BUYER TAKES POSSESSION AT A RETAILER
OF A PRODUCT PURCHASED USING A COMMUNICATION NETWORK**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Request for Corrected Filing Receipt, 2 pp. (x2);
Red-lined Copy of the Corrected Filing Receipt, 2 pp.; and
Return Receipt Postcard.**

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GROUP 3600

in the above identified application.

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- ☒ Charge the amount of \$25.00
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Dated: September 23, 2002

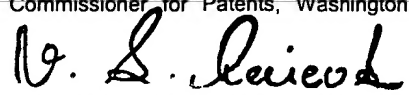
Dean P. Alderucci
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CC:

I certify that this document and fee is being deposited
September 23, 2002 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
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20231.



Signature of Person Mailing Correspondence

Veronika S. Leliever

Typed or Printed Name of Person Mailing Correspondence



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Washington, DC 20231
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Bib Data Sheet

CONFIRMATION NO. 5411

SERIAL NUMBER 09/348,566	FILING DATE 07/07/1999 RULE	CLASS 705	GROUP ART UNIT 3625	ATTORNEY DOCKET NO. WD2-98-120
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APPLICANTS

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**** CONTINUING DATA *******

This application is a CIP of 09/337,906 06/22/1999
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and is a CON of 09/083,345 05/22/1998

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GROUP 3600

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **

** 08/03/1999

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY CT	SHEETS DRAWING 37	TOTAL CLAIMS 102	INDEPENDENT CLAIMS 14
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

ADDRESS

22927

TITLE

SETTLEMENT SYSTEMS AND METHODS WHEREIN A BUYER TAKES POSSESSION AT A RETAILER OF A PRODUCT PURCHASED USING A COMMUNICATION NETWORK

FILING FEE RECEIVED 2803	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
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